

GP Patient Survey 2020 Technical Annex



Ipsos MORI Social Research Institute



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Introduction

1 Introduction

This technical annex provides details of the 2020 GP Patient Survey (GPPS) conducted by Ipsos MORI. The survey was conducted on behalf of NHS England.

This is the fourteenth year that the GPPS has been conducted in England. Between 2011 and 2016 the survey took place twice a year, having previously been conducted on a quarterly basis (April 2009 - March 2011) and annually (January 2007 - March 2009). Since 2017 the survey has returned to an annual format.

The survey uses a quantitative postal methodology, including an option for online completion. In January 2020, questionnaires were sent to around 2.33 million adult patients followed by a postcard reminder one week after the initial mailing. Two full reminder mailings were then sent to non-responders in February and March. Fieldwork dates are reported in Chapter 5.

The questions included in the survey ask patients about their local GP services (including methods of booking appointments, awareness and use of online services, awareness and satisfaction with opening times and preferred GPs), experience of making an appointment, the quality of care at their last appointment, overall experience of their GP practice, experience when their GP practice is closed and NHS dentistry as well as their current health circumstances. There were no changes to the questionnaire this year, following a full redevelopment process in 2018, to allow for consistency and reporting of trend data. More information on the questionnaire design process is available in Chapter 2.

From 2021 the Personal Demographics Service (PDS) will provide the sample frame for GPPS because the National Health Applications and Infrastructure Service (NHAIS) database is being decommissioned. Ahead of this change, to test for any differences between the two sample sources and achieved survey responses, during 2020 fieldwork a pilot was run on PDS mirroring the main NHAIS approach. In addition, as the PDS provides access to email addresses and mobile numbers for the first time, a set of experiments ran alongside this PDS "control" designed to improve the cost-effectiveness of the survey by increasing the proportion completing online. More details of these experiments can be found in the Appendix. Please also see the Appendix for copies of the questionnaire and materials sent in 2020.

1.1 Survey governance

Since February 2014, the governance of the survey has involved input from a steering group, which meets regularly to provide a forum in which GPPS stakeholders can be kept informed of survey progress. The group provide advice to the research team and debate key issues such as questionnaire content, inclusion of practices, analysis and reporting; review the findings of the survey as they emerge; consider the need for any further research and analysis to be undertaken; and raise any questions about the GPPS project with Ipsos MORI and NHS England.

In addition to NHS England and Ipsos MORI, the group consists of representatives from a range of stakeholders, including the following:

- Academics
- British Medical Association
- Care Quality Commission
- Clinical Commissioning Group (CCG) representatives
- Department of Health and Social Care
- Healthwatch England
- GP membership
- National Association for Patient Participation
- Patient Participation Group (PPG) representative
- Patient representative
- Primary Care Network (PCN) professional representative
- Royal College of General Practitioners

Questionnaire and material design

2 Questionnaire and material design

2.1 Questionnaire development for the 2020 survey

No changes were made to the questionnaire in 2020. In 2018 the questionnaire was fully redeveloped which established a new baseline for measurement of patient experience; extensive work was undertaken to ensure the content was relevant in light of the changes taking place to primary care services under the <u>General Practice (GP) Forward View</u>. More details can be found in the <u>guestionnaire redevelopment report</u>.

While consistency is important, every year the questionnaire content is reviewed for relevance and to ensure that it accurately reflects patients' experience of access and care, as well as best practice in questionnaire design. This year it was decided that no changes were required, resulting in consistency with previous years.

2.2 The final questionnaire

Below is a list of the topics covered in the 2020 questionnaire. The full questionnaire is available in the appendices.

- Your local GP services
- Making an appointment
- Last general practice appointment
- Overall experience
- Your health
- When your GP practice is closed
- NHS dentistry
- Demographics

2.3 Materials development for the 2020 survey

During 2019 fieldwork Ipsos MORI and NHS England trialled a number of experiments on a sub-sample of GPPS respondents. These experiments tested alternative materials and mailing strategies, designed to safeguard the overall response rate and increase the proportion of online completes. The most consistently successful change was improved 'nudge-to-web-lite' messaging on the mailing letters: updating the wording on taking part to mention the online option, adding a note about taking part online being cheaper for the NHS, and adding the online log-in details to the centre of the letter. As a result of these findings, mailing letters for the fieldwork in 2020 were changed to include this 'nudge-to-web-lite' messaging. The full set of letters used are available in the appendices.

Sampling

3 Sampling

3.1 Sample overview

For GPPS 2020, the sample was designed to ensure that, as far as possible, confidence intervals would be of the same magnitude for each practice for any one question – calculations were based on the assumption that the estimate would be the same across all practices and based on a 50/50 question (a 'worst case' scenario in terms of the magnitude of the confidence interval, for example where 50% of respondents at Q31 answer "good"). This method was used to ensure that confidence intervals were as consistent as possible between practices and that none would have particularly wide intervals, resulting in an issued sample size of around 2.33 million patients who were sent a questionnaire.

Patient information was obtained for each practice using registration records held on the National Health Applications and Infrastructure Service (NHAIS) and the Personal Demographics Service (PDS) databases maintained by NHS Digital. The sampling procedure was split into two distinct stages. Firstly, NHS Digital provided an anonymised list of patients for sample size determination and individual patient selection. The selected anonymous records were returned to NHS Digital, and a second file containing the contact details of the selected patients was provided. The control sample was selected from NHAIS, prior to the pilot and experiment sample being selected from the PDS. More details on the pilot and experiments can be found in the appendix.

3.2 NHS Digital population extraction procedure

As in previous years, NHS Digital provided a file of anonymous patient data for all eligible patients who reside in England or Wales and were registered with a practice in England. The file contained a unique reference number, practice code, patient gender, patient age band, and patient postcode.

A sample of patients was then drawn at practice level, as detailed below.

3.2.1 Patients eligible for the survey

Patients were eligible for inclusion in the survey if they had a valid NHS number, had been registered with a GP practice continuously for at least six months at the point of selection, and were 16 years of age or over. Note that this is the third year that 16-17 year olds have been included; prior to 2018 the survey had only been open to those aged 18 or over.

In previous versions of the survey, a further eligibility criterion was enforced to ensure patients would not receive more than one GPPS questionnaire in any 12-month period. As the 2020 GPPS was an annual survey conducted a full 12 months after sampling for the 2019 survey, this rule was not required.

3.2.2 Practices included in the survey

The list of practices to be included was taken from the NHS Digital system, and comprised all practices that had eligible patients as defined above, where the practice had not opted out of the survey as they felt it was inappropriate to their patient population. The number of practices with eligible patients for the 2020 survey was 6,858, but between sample selection and mail-out, some practices became ineligible for the survey. In total, patients in 6,835 different practices were sent questionnaires, and at least one completed questionnaire was received from patients in 6,821 practices.

3.3 Sample size calculation

The sample size was determined for each practice to deliver a likely confidence interval of ± 9.0 percentage points (two-tailed, at the 95% level) in the majority of practices on a question where it was assumed that 50% of the respondents will respond one way and 50% will respond another. This confidence interval was determined iteratively to ensure a total annual issued sample size of c.2.33 million. While this confidence interval can never be achieved in all practices, every effort was made to ensure that it was achieved in the majority.

The sample design involved a proportionately stratified, unclustered sample, which was drawn for each practice. However, where practice population sizes are relatively small and need to be accounted for through the finite population correction, this does have impact on the sample size needed to deliver set confidence intervals. Therefore, the sample size and confidence intervals, as well as calculations used, must be adjusted accordingly.

The number of patients initially selected for inclusion in the sample for each practice (the 'issued sample') was therefore determined by the following components:

- the number of cases required in order to deliver 95% confidence intervals of ±9.0 percentage points on a 50/50 question; and
- the proportion of patients included in the issued sample who are predicted to respond to the survey taking into account the number who are eligible but do not respond.

These components were combined to determine the issued sample size in each practice as follows:

Issued sample = $\frac{\text{number required to deliver required confidence interval}}{\text{proportion of issued sample predicted to respond}}$

Both of the components involved in the above calculation needed to be estimated for each practice. It was assumed that simple random sampling will be applied in each practice. On this basis, an estimate was arrived at for the number of responses required to deliver set confidence intervals, taking into account the proportion predicted to respond to a particular question.

It should be noted that the required issued sample size depended upon:

- the number of eligible patients in the population practice size counts are used to give an estimate of the practice population;
- the proportion being estimated assuming a "worst case scenario" of 50% for the proportion to be estimated, that is, 50% of respondents answering a given question "yes"; and
- the magnitude of the required confidence interval which is known to be ±9.0 percentage points.

The sample size required to deliver the target confidence interval was estimated using the actual response rate for those practices who took part in the 2019 GPPS, and was set at 30% for practices new to the survey or to whom fewer than 100 surveys were issued in the 2019 GPPS. This is to prevent unrealistically high or low response rates being used for new and very small practices.

3.3.1 Adjustments to response rate estimates

To prevent issuing very large numbers of questionnaires in practices which had very low response rates in 2019, a minimum response rate of 24.1% was assumed. This meant that no practice had more than 493 questionnaires issued. The mean mailout size per practice was 341. In order to ensure that a reasonable number of questionnaires were sent to practices with very high response rates, on the other hand, a maximum assumed response rate of 46.9% was set.

3.4 Patient sample selection

The anonymous patient data sent by NHS Digital was sorted within each practice by gender then age band. The required number of patients per practice was then selected on a '1 in n' basis, and the unique survey reference numbers returned to NHS Digital.

3.4.1 Personal data extractions

On receipt of the selected records, NHS Digital then extracted the contact details for each of the sampled patients. The extracted file contained, for each patient, the unique survey serial number, patient name, practice code, address, month and year of birth, gender, and NHS ID number. Where a selected patient had become ineligible since the provision of the anonymous data, an "exclusion" record was sent instead, containing the unique survey serial number and reason for the exclusion.

3.4.2 Sample cleaning and exclusions

A number of checks were made on the supplied names and addresses to remove inappropriate records. These checks included:

- duplicates between practices (identified by NHS ID number). Where duplicates existed, both were removed as we could not confirm which practice they belong to;
- duplicates within practices; and
- non-address details or other inappropriate information contained in the address. These could include:
 - key safe numbers, telephone numbers and other numerics not related to the address;
 - unexpected words or phrases in the name or address (including "unknown", "homeless", "deceased", "test", etc.); and
 - incomplete addresses.

All sampled patients from all practices were then randomly sorted before being allocated sequential reference numbers (to ensure there was no link between reference numbers and practices). A "mod-10" check digit was added to the end of the reference numbers to ensure processing integrity during data capture.

For the experiments conducted during 2019 fieldwork, sampled patients were randomly assigned to either the main sample or one of the experiment groups. For more details on this, please seen the Appendix.

3.4.3 Final mailed sample after cleaning and exclusions

The final number of patients to whom questionnaires were sent after all sample cleaning had been finished was 2,329,590.

Communications with patients and practices

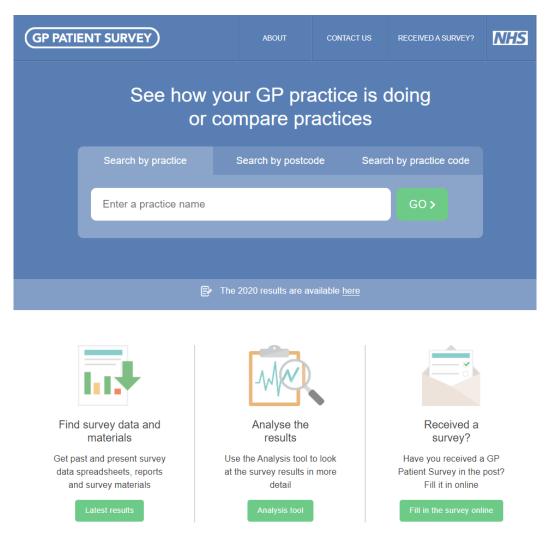
4 Communications with patients and practices

In order to raise the profile of GPPS and provide patients and practices with information about the survey, a series of communication activities are undertaken, such as hosting a survey website, and providing a survey helpline to respond to frequently asked questions. These are described in more detail below.

4.1 Survey website

A dedicated survey website is maintained and hosted by Ipsos MORI. The advertised web address is <u>www.gp-patient.co.uk</u>. The site is designed to reflect the branding of the questionnaire and all other related materials (see Figure 4.1 for website home page).

Figure 4.1: The www.gp-patient.co.uk homepage



The website was updated on the first day of fieldwork, as the first questionnaires are delivered to patients, to indicate that the survey is open. It is arranged around a number of headings, detailed below:

- 'About' covers the aims of the survey, ways to take part and information about accessibility. Also included within this link are videos that welcome British Sign Language (BSL) users to the website, explain the survey, and provide responses to a selection of FAQs. The page also links to an online BSL version of the questionnaire.
- **'Received a survey?'** provides information about the online version and links to complete it in either English, BSL or another language. Also provided is a link to previous survey results.
- 'Frequently Asked Questions (FAQs)' includes information about how patients are selected, help with completing the survey, data protection and accessing the results.
- 'Languages' provides information about GPPS in 14 additional languages, including Arabic, Bengali, Czech, French, Gujarati, Mandarin, Polish, Portuguese, Punjabi, Slovak, Somali, Spanish, Turkish and Urdu. The FAQs, questionnaire, and covering letter are all translated into these languages in order to make the survey as widely accessible as possible.
- **'Past surveys'** allows users to download past and present survey reports and survey materials.
- **'Promote the survey'** provides tips to GP practices for promoting the survey and contains the poster in English and 14 other languages.
- **'What do you think about the website?'** is a link to a form allowing users to rate the site, provide comments and leave contact details.
- **'Search for a practice'** takes users to the practice reporting tool, which allows them to view results for a specific practice.
- 'Compare a practice' allows users to compare the results of different practices.
- **'Analysis tool'** is a link to the analysis tool which allows users to interrogate the GPPS data further (see section 8.4).
- 'Latest results' is an archive of all previous datasets, reports, questionnaires and letters.
- 'For GP staff' contains information for GPs about the benefits of the survey.

- 'Why use the GP Patient Survey data?' provides information about the GP Patient Survey, what information can be found in the survey results, and how the website can help the user. It also includes a GP Handbook developed by academic partners giving practices advice on how to use their survey results to improve patient care.
- 'How do I...?' provides guidance on how to complete the survey, how to find practice and CCG data, and how to use the website and analysis tool to conduct further analysis on the data.
- **'Uses of GPPS'** details a number of examples about how GPPS data is used. This includes uses by national organisations and a series of case studies. It was newly developed in 2019 and is continually updated.
- **'Contact us'** provides a link to telephone and email contact details for the GPPS team at Ipsos MORI.
- **'Accessibility'** gives information on how the website can be adapted or used by people with different accessibility requirements, such as by changing the text size, background colours, keyboard navigation or using with a screen reader.

4.2 Support for participants

4.2.1 Telephone helplines

Ipsos MORI offer a Freephone helpline for patients who would like more information about the survey, with separate numbers for English and 14 foreign language lines. In total, c.14,625 calls were handled by the helpline team over the course of 2020 fieldwork.

4.2.2 English language telephone helpline

The English language helpline was staffed by a fully trained Ipsos MORI team between 8am and 9pm on weekdays and 10am to 5pm on Saturdays from January to March 2020. A voicemail system is used during quieter periods (see details below). In order for call handlers to answer patients' queries, they are provided with a manual containing a complete list of over 200 FAQs. These are updated annually to address any new or emerging queries patients may have. Where the call handlers cannot answer a query, the details are passed on to the GPPS research team who will respond directly.

During quieter periods (generally 10 days after each mailing), a voicemail message briefly explains the purpose of the survey and asks the caller to leave a message and telephone number if they wish to be called back. Interviewers then return the calls within two working days, making up to eight attempts to reach the caller.

As well as being a source of information for patients, the helpline also enables sampled patients to complete the survey over the telephone.

In addition, patients can opt out of the survey by providing their reference number or contact details to the helpline.

4.2.3 Foreign language telephone helpline

In order to make the survey as accessible as possible, there are separate helplines for each of the 14 foreign languages that the survey is offered in. Each language has its own Freephone number which is connected to a voicemail message in the corresponding language. As with the English language voicemail, a message briefly explains the purpose of the survey and asks the caller to leave a message and telephone number if they wish to be called back. Interviewers in Ipsos MORI's International CATI Centre then return the calls within two working days. Again, up to eight attempts are made to return the call. As with the English language helpline, patients are able to complete the survey over the phone or opt out of taking part.

4.2.4 Email helpline

As well as using the telephone helpline, patients are also able to email the GPPS team at Ipsos MORI with any queries. In total, approximately 1,591 email queries were received during fieldwork in 2020.

4.2.5 Whitemail

The survey also generates a large volume of whitemail returned to the Freepost address, including letters and notes addressed to the survey team or to NHS England. This is separated from the returned questionnaires and delivered to the helpline team on a weekly basis for review. Where a response is requested or deemed otherwise necessary, the patient will be written to. For 2020 fieldwork, around 1,140 letter responses were sent.

4.2.6 Safeguarding

The majority of calls and other correspondence received about the survey are relatively straightforward, and the helpline team are well briefed and experienced in engaging with respondents ethically and sensitively. However, where a cause for safeguarding concern occurs a formal protocol (agreed with NHS England) outlines the procedures that staff should follow. All potential safeguarding cases are reviewed by the research team, and if necessary, escalated to the Ipsos MORI GPPS Ethics Board; a group with particular experience in safeguarding situations. If advised by the Ethics Board, the circumstances are then shared with NHS England. This process ensures all instances are dealt with as sensitively and quickly as possible. For 2020 fieldwork, the Ethics Board was not required to review any safeguarding queries.

4.3 Information for display in GP practices

As well as these activities, a poster is made available for GP practices to display in their practices in English and 14 other languages. Copies of the posters are available on the GPPS website for download and printing or displaying on electronic notice boards at https://gp-patient.co.uk/promote. For 2020 fieldwork, this page was updated to provide additional tips to GP practices for promoting the survey.

Data collection

5 Data collection

The GP Patient Survey is primarily a postal survey. However, patients also have the opportunity to complete the survey online or by telephone and it is also offered in a variety of accessible formats. These options are discussed in greater detail below.

5.1 Postal survey

5.1.1 Processing the sample

The final survey sample is delivered to the printing house via secure file transfer protocol (SFTP), using high level encryption. Upon receipt it is cleaned using the Postcode Address File (PAF), a process which ensures that the questionnaires are sent to the correct postal address and that the mailing is eligible for postage discounts. A downstream access provider is used for processing the mailing packs, with items then handed over to Royal Mail for 'final mile' delivery.

5.1.2 Printing

All questionnaires, letterheads, C5 Business Return envelopes, and C5 outer envelopes are printed in advance of the survey. Once the sample is made available, the questionnaires are then personalised with a unique reference number and online password. The letters are also personalised with name, address, and the same unique reference number and password as appears on the questionnaire.

A single questionnaire, letter, and Business Return envelope are then packed into an outer envelope by machine, and sorted into Walksort batches, ready for collection by the downstream access provider.

5.1.3 Posting the questionnaire

Initial letters and questionnaires were sent to all patients in the final sample on the dates in Table 5.1, followed by a postcard reminder. Two additional full reminder mailings (letters and questionnaires) were then sent to patients for whom no recorded response was received by the printing deadline. Copies of all letters can be found in the Appendix.

Patients who were **not** sent a full reminder included those who met the following criteria at the point of the printing deadline:

- those who returned their questionnaire to Ipsos MORI and it was processed before the printing deadline;
- those who completed the questionnaire online;
- those who completed the survey via the helpline;

- those who telephoned or emailed the helpline and opted out of the survey;
- those who replied via letter indicating they wished to opt out of the survey or returned a blank copy of the questionnaire;
- those who opted out via NHS England;
- those whose questionnaires were returned to sender; and
- those recorded as deceased or no longer eligible on the NHS Digital database.

Table 5.1: Survey mailout dates

| | Dates | | | |
|------------------------------------|---------------------|--|--|--|
| Initial survey sent | January 2 – 4 2020 | | | |
| Postcard reminder sent | January 9 – 11 2020 | | | |
| Early timings first reminder sent | January 22 2020 | | | |
| First reminder sent | February 3 – 5 2020 | | | |
| Early timings second reminder sent | February 12 2020 | | | |
| Second reminder sent | March 2 – 4 2020 | | | |

5.2 Alternative methods of completion

Although patients are offered several methods of completion, only one response per patient is included in the final data.

5.2.1 Online completion

Patients are offered the option to complete the survey online via the GPPS website in English, one of the 14 other languages offered, or in British Sign Language (BSL). These different versions of the survey are accessible from specific language pages on the website. The online survey page of the website gives those invited to take part the opportunity to choose the language in which they wish to complete the survey (English, Arabic, Bengali, Czech, French, Gujarati, Chinese, Polish, Portuguese, Punjabi, Slovak, Somali, Spanish, Turkish or Urdu).

Likewise, the option for patients to access the BSL version is via the page dedicated to supporting BSL users. This involves showing video clips of a BSL user signing the instructions, questions, and options available (see Figure 5.1).

| GP PATIENT SURVEY | A | A A | | | |
|---|---|-----|------|------|----|
| 0.26 | | | | | |
| YOUR LOCAL GP SERVICES | | | | | |
| Generally, how easy is it to get through to someone at your GP practice on the phone? | | | | | |
| ◯ Very easy | | | | | |
| Fairly easy | | | | | |
| Not very easy | | | | | |
| Not at all easy | | | | | |
| Haven't tried | | | | | |
| << >> | | | | | — |
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Regardless of the language chosen, each patient in the sample is assigned a unique reference number and password (printed on the letter and on the front page of the paper questionnaire) that allows them to access the online survey. In order to complete the survey online, patients are required to enter these details on a first login screen (see Figure 5.2).

Figure 5.2: Login screen for online survey

| GP PATIENT SURVEY | | | | | | | | | |
|--|--------------------------------------|--|--|--|--|--|--|--|--|
| Please provide your Survey number and Password to participate in the survey. | | | | | | | | | |
| Language | English | | | | | | | | |
| Survey number | | | | | | | | | |
| Password | | | | | | | | | |
| Your login details can be found on the front page of the questionnaire. <u>Click here to see where to find your Survey number and Password</u> OK Logging in may take a few moments so please wait. | | | | | | | | | |
| About Ipsos MORI Privacy Policy Access | Contact Us Languages FAQs ibility | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

For all versions of the online survey, the questions are identical to those on the paper questionnaire in terms of wording and design.

Figure 5.3: Question from the online survey

| GP PATIENT SURVEY | A | A A | | | |
|---|---|-----|------|-----|-----|
| YOUR LOCAL GP SERVICES | | | | | |
| Generally, how easy is it to get through to someone at your GP practice on the phone? | | | | | |
| ◯ Very easy | | | | | |
| C Fairly easy | | | | | |
| O Not very easy | | | | | |
| Not at all easy | | | | | |
| Haven't tried | | | | | |
| << >> | | | | | |
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| | | | | | |

Only one online response per patient is accepted. If patients try to complete the survey more than once online, a message appears letting them know they have already completed it. If they fail to complete the survey in one sitting, their reference number and password will return them to where they had left off.

5.2.2 Total number of online returns

150,274 patients completed the survey online during fieldwork in 2020. The number of patients completing the survey online has increased over time (c.50,000 in 2017, c.70,000 in 2018 and c.78,000 in 2019). The larger increase between 2019 and 2020 is due to the impact of implementing the 'nudge-to-web-lite' mailing letters tested in 2019 (see Chapter 2.3).

Table 5.2 details how many patients completed the survey in each available foreign language and BSL. There were also increases in the number completing in another language (2,486 in 2020 compared with 1,835 in 2019) and using BSL (72 compared with 53 in 2019).

| | Completes |
|------------|-----------|
| Arabic | 147 |
| Bengali | 42 |
| Czech | 46 |
| French | 45 |
| Gujarati | 32 |
| Chinese | 247 |
| Polish | 1,267 |
| Portuguese | 203 |
| Punjabi | 51 |
| Slovak | 50 |
| Somali | 9 |
| Spanish | 199 |
| Turkish | 102 |
| Urdu | 46 |
| Total | 2,486 |
| BSL | 72 |

Table 5.2: Completes per language and BSL

5.2.3 Telephone completion

Patients are also able to complete the GPPS questionnaire on the telephone (including in the 14 foreign languages) by calling the Freephone helplines. Patients are asked for their reference number before they can complete the survey and there is an automatic check on the reference number to ensure that it is valid for the live survey. Helpline staff enter callers' answers directly into the online version of the survey. In total, the helpline team assisted 233 patients in completing the survey, mostly because they had a visual impairment or physical disability, but some also needed help because of language or literacy issues.

5.2.4 Braille and large print versions

Braille users are offered the opportunity to receive the questionnaire and letter in Braille, and large print is made available for those who request a copy of the letter and questionnaire in this format. Braille users must complete the online survey. Returned large print questionnaires are entered manually into the online survey by the helpline team, using the patient's unique login details. This year there were 51 requests for a large print survey, of which 24 were returned, and no requests for a Braille version.

5.2.5 Respondent burden

Respondent burden provides information on the burden of those taking part in the survey. It is calculated using the Compliance Cost Model¹ formula shown below:

$burden = n_{resp,main_surv} x med(t_{main_surv})$

Where:

n_{resp,main_{surv}} is the number of responses to the survey, including full and partial responses.

 $med(t_{main surv})$ is the median time taken to complete the survey.

For the GPPS 2020 the total compliance cost is 7,620,838 minutes. This is based on 739,637 full completes and 7,504 partial completes to the survey, with a median online completion time of 10.2 minutes.

¹ https://gss.civilservice.gov.uk/wp-content/uploads/2015/12/Guidance-on-Calculating-Compliance-Costs.pdf

Data analysis

6 Data analysis

6.1 Questionnaire processing

As in previous years, questionnaires are returned in supplied freepost Business Reply Envelopes (2nd class) to the scanning house.

Envelopes are guillotined and questionnaires collated and prepared for scanning. Any other items of correspondence are set aside for review and response by Ipsos MORI or NHS England, as appropriate.

Questionnaires are scanned and processed using barcode recognition and Optical Mark Recognition technology, with operator verification of uncertain entries. All marks on the forms are recognised at this stage, regardless of whether they are in accordance with the questionnaire instructions.

Questionnaire data collected online is logically prevented from containing data contrary to the questionnaire instructions (such as multiple responses to a question requiring a single answer).

Questionnaires were accepted and included if they were received by 3 April 2020.

6.2 Inclusions and exclusions

The rules and protocols used for delivering the data for the 2020 reports are as follows:

- All questionnaires received with identifiable reference numbers allowing linkage to a GP practice, along with all completed online responses, are eligible for inclusion.
- Returned questionnaire figures are based only on those qualifying for inclusion in the dataset as described in this document.
- The published response rates are based on all completed, valid questionnaires returned and all questionnaires sent. They have not been adjusted to exclude questionnaires which did not reach the patient, e.g. where envelopes have been returned undelivered etc. However, weighted and adjusted response rates have also been included in Chapter 7, which takes into account the selection likelihood and undelivered questionnaires. The following are excluded from the reports:
 - All questionnaires marked as completed by under-16s;
 - All questionnaires where there is only data for a limited number of questions (e.g. only the first page was completed).

- All questionnaires where the barcode number was not in the valid range for the live wave of the survey.
- All questionnaires without a valid practice code.
- All blank questionnaires.

Questionnaire data are combined from scanned and online data sources. Where duplicates between mode of completion exist, the data used are selected according to the case that is the most complete (i.e. with the fewest unanswered questions). If there is no difference in completeness, the data used are then selected according to a priority order with online data having precedence. Where duplicates exist within a completion mode, the earliest return is included.

6.3 Quality assurance

A number of checks were undertaken at key stages of the survey, including during the sample preparation and data cleaning stages. These help to identify obvious errors in the sample and response data, such as the inclusion of ineligible patients or incorrect coding. During reporting it was noted that there was a higher proportion of people who had not answered a selection of questions, including the questions on sex and age when compared with the average for the previous two years. This was investigated further and it was concluded that it was an issue with scanning sensitivity in relation to these questions. The missing values were randomly spread throughout the data set, the scale of the missing values represented a small proportion of overall responses and were shown not to have impacted trends. For these reasons it was concluded that this had not significantly impacted on the survey results.

6.4 Editing the data

As the majority of the completed questionnaires are on paper, there is a degree of completion error that occurs (e.g. ticking more than one box when only one response is required, answering a question that is not relevant, or missing questions out altogether). Therefore, it is necessary to undertake a certain amount of editing to ensure the data is logical. For example:

- If a patient ticks more than one box where only one answer is required, then their reply for that question is excluded.
- Where patients are allowed to select more than one box for a particular question, the reply
 for that question is excluded if they select two conflicting answers for example, at Q3 ('In
 the past 12 months, have you booked general practice appointments in any of the
 following ways?'), if a patient ticks any of the first five options as well as 'Doesn't apply',
 then their response for that question is excluded. The following list shows the questions
 this applies to, as well as the response options that are treated as single code only:
 - Q4 'None of these' and 'Don't know'

- Q5 'None of these'
- Q7 'Don't know'
- Q14 'I did not try to get information or advice'
- Q16 'No, I was not offered a choice of appointment', 'Can't remember' and 'Doesn't apply'
- Q32 'None of these'
- Q35 'I do not have any long-term conditions'
- Q45 'Can't remember'
- If all boxes are left blank the reply for that question is excluded.
- If a patient fails to tick the relevant answer for a filter question then any responses are excluded from the subsequent questions relating to the filter question. For example, if a patient responds to Q10 without having first responded 'Yes, for all appointments' or 'Yes, for some appointments and not others' at Q9, their response to Q10 is removed.
- For the question on whether they have a long-standing health condition (Q34), patients who initially answer other than 'Yes' have their answer recoded to 'Yes' if they went on to select any long-term conditions, disabilities or illness at Q35.
- Where the ethnicity question (Q56) is multi-coded, patients are included in the 'White English / Welsh / Scottish / Northern Irish / British' group if this was selected alongside any other response. If someone selects more than one response under any of the ethnic groups ('Mixed / multiple ethnic groups', 'Asian / Asian British', 'Black / African / Caribbean / Black British', and 'Other ethnic group') then they are recoded into the 'other' response within that grouping; for example, a patient selecting Indian and Pakistani is coded into 'Any other Asian background'. The same rule applies to multiple responses in the 'White' section in cases where 'White English / Welsh / Scottish / Northern Irish / British' is not selected. If someone selected two or more responses which are not in the same section, they would be coded into the 'Any other ethnic group' category (again, with the exception of cases where 'White English / Welsh / Scottish / Northern Irish / British' was selected).

6.5 Weighting strategy

The GPPS 2020 weighting scheme followed the same strategy as in 2019. Weights were generated to correct for the sampling design and to reduce the impact of non-response bias. The weight was calculated using the following three stages:

• Step 1: creation of design weights to account for the unequal probability of selection;

- Step 2: generation of non-response weights to account for differences in the characteristics of responders and non-responders;
- Step 3: generation of calibration weights to ensure that the distribution of the weighted responding sample across practices resembles that of the population of eligible patients, and that the age and gender distribution within each Clinical Commissioning Group (CCG) matches the population of eligible patients within the CCG.

Design weights were computed to correct for the disproportionate sampling of patients by GP practice, as the inverse of the probability of selection, i.e. by dividing the total number of eligible patients in the practice at the time of sampling by the number sampled.

Non-response weights were constructed using a model-based approach to estimate the probability of taking part in the survey. This model estimated the probability of responding based on the age and gender of the patient and the socio-economic characteristics of the neighbourhood in which the patient lived. These weights aim to reduce the demographic and socio-economic differences between respondents and non-respondents.

Data from the GPPS sampling frame (patient's age, gender and region) was linked to external data using the home postcode of the patient. This consisted of measures from the 2011 Census: output area aggregated measures of ethnicity, marital status, overcrowding, household tenure and employment status, as well as the indicator of multiple deprivation score (IMD) and ACORN group. For a small number of patients (617) the IMD score was missing – for these patients the missing IMD scores were imputed using the mean for the practice that they were registered with.

The probability of response was estimated using a logistic regression model with response (or not) as the outcome measure and the measures described above included as covariates. Standardised design weights were applied when running the model to obtain unbiased estimates for the coefficients.

The model allows us to identify patterns in non-response behaviour: female patients were more likely to respond than male patients, younger patients were less likely to respond than older patients. There were also some differences by region, with response lowest in the North West and highest in the South West. Response was also lower in ACORN groups K ('Student Life'), P ('Struggling Estates') and Q ('Difficult Circumstances').

Response also decreased for patients living in Census Output Areas (OAs) with the following characteristics:

- higher levels of deprivation based on IMD scores;
- a higher proportion of people from ethnic minority backgrounds;

- a higher proportion of single, separated or divorced people;
- a higher proportion of households with three or more people;
- a higher proportion of privately rented households; and/or
- a lower proportion of employees.

The non-response weights were calculated as the reciprocal of the predicted probability of response estimated from the model. To avoid very large weights, the non-response weights were capped for the 0.3% largest values. The non-response weights were multiplied by the design weight to obtain the starting weights for the calibration.

The starting weights were then calibrated to practice population counts, and to population counts by age/gender within each CCG. The population totals used for the calibration were estimated from the sampling frame.

To avoid very large weights, the ratio of the calibration weights to their starting weights was trimmed at a value of 2.5. Finally, the weights were standardised to sum to the sample size.

6.6 Confidence intervals

Because estimates from the GPPS are based on a sample of the population, they are measures with some uncertainty. This uncertainty is represented by applying confidence intervals, which are ranges within which we are fairly confident (95%) that the true population value lies.

The table below gives examples of what the confidence intervals look like for an 'average' practice and CCG, as well as the confidence intervals at the national level, based on weighted data.

| | Average sample | Approximate confidence intervals for percentages at or near these levels | | | | | |
|----------|------------------------------------|--|-------------------------------|-------------------------------|--|--|--|
| | size on which results are based | Level 1: 10% or 90% | Level 2: 30% or 70% | Level 3: 50% | | | |
| | | +/- (percentage points) | +/- (percentage points) | +/- (percentage points) | | | |
| National | 739,637 | 0.10 | 0.15 | 0.16 | | | |
| CCG | 5,479 | 1.11 | 1.69 | 1.84 | | | |
| Practice | 108 | 6.63 | 10.13 | 11.06 | | | |

Table 6.1: Confidence intervals for practices, CCGs and national data

For example, in a CCG where 5,479 people responded (the average size for a CCG) and where 30% give a particular answer, the confidence interval is +/-1.69 percentage points from that survey estimate (i.e. between 28.31% and 31.69%).

In instances where the base size is small (e.g. practices where 100 patients or fewer responded to a question) confidence intervals will be wider. Findings for these questions should be regarded as indicative rather than robust.

Lower and upper limits for confidence intervals for a selection of questions are presented in the practice and CCG Excel reports (<u>https://gp-patient.co.uk/surveys-and-reports</u>).

Often statistical summary measures and tests are based on simplified assumptions about how the underlying population is distributed. These assumptions hold for many real-life situations but can fail for extreme situations; such is the case with confidence intervals. Within the context of GPPS, where some satisfaction scores are around 99%, there is more scope for a survey estimate to fall below 99% than above, purely because there are far more possible lower scores (this makes sense intuitively as well as probabilistically). The confidence interval has to take this limit into account, and, in such circumstances, the lower limit is expected to be larger than the upper limit. As a result, Wilson's method is used to calculate confidence intervals, which accounts for this, and permits intervals to be asymmetric – the lower and upper limits can be unequal in size (unlike other confidence interval tests)².

Power calculations are carried out to estimate the size of a real effect that would be required in order to be likely to find a statistical difference in the statistical test performed. This level of likelihood is called "power" and the acceptable level is usually set at 80%, i.e. the difference would be significant for 80% of the tests on average if the survey was repeated. The following table shows the size of the real percentage point (pp) difference in the population between a pair of average sized CCGs, and also for a pair of average sized practices, that would be detected with 80% power in the survey data³.

² Standard confidence interval testing uses the Wald method.

³ Power calculations apply a statistical test to protect against the risk of false negatives. False negatives occur when a difference that does exist is declared as not existing.

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| | | Difference between the two estimates | | | | | |
|----------|--|--|--|--|--|--|--|
| | Average sample size on which results are based | Level 1: Lower estimate = 10% | Level 2: Lower estimate = 30% | Level 3: Lower estimate = 50% | | | |
| | | +/- (percentage points) | +/- (percentage points) | +/- (percentage points) | | | |
| CCG | 5,479 | 2.3 | 3.5 | 3.7 | | | |
| Practice | 108 | 17.4 | 22.0 | 21.8 | | | |

Table 6.2: Power calculations for CCGs and practices

Using an example, comparing two practices with the same number of responses (108), if the result for the first practice said that 10% of patients had booked an appointment online, then the percentage in the second practice would need to be at least 27.4% for a statistical difference to be identified with an acceptable level of statistical power (80%) i.e. 17.4 percentage points higher, as outlined in the table above.

Response rates

7 Response rates

7.1 Unadjusted response rates (published)

The overall response rate for England was 31.7%, based on 2,329,590 questionnaires sent out and 739,637 returned.

Table 7.1: Surveys sent, returned and response rates

| | Number sent | Number returned | Response rate |
|-------|-------------|-----------------|---------------|
| Total | 2,329,590 | 739,637 | 31.7% |

Table 7.2: Response rates by gender

| | 2020 |
|-------|-------|
| Women | 35.8% |
| Men | 27.8% |

Table 7.3: Response rates by age

| | 2020 |
|-------|-------|
| 16-17 | 19.1% |
| 18-24 | 13.1% |
| 25-34 | 15.0% |
| 35-44 | 20.9% |
| 45-54 | 29.7% |
| 55-64 | 45.1% |
| 65-74 | 62.7% |
| 75-84 | 62.7% |
| 85+ | 49.1% |

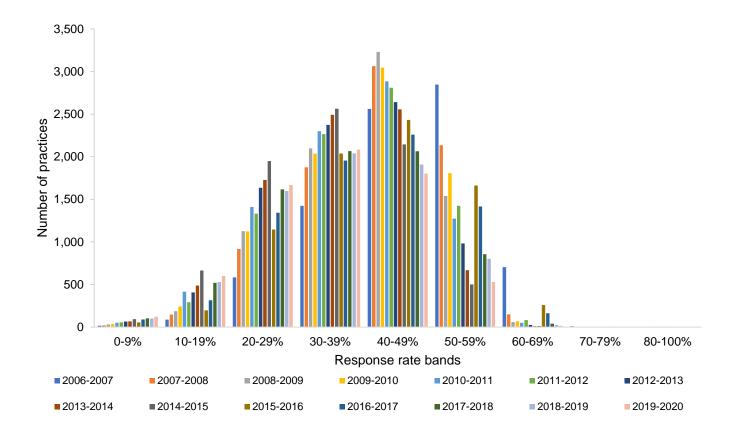


Figure 7.1: Number or practices within each response rate band over time

Table 7.4: Number and proportion of practices within each response rate band

For response rates prior to 2011, please see the <u>2017 technical annex</u>.

| | Practi 19/ | ces in /20 | | ces in /19 | | ces in /18 | | ces in /17 | Practi 15/ | ces in ⁄16 | Practi 14/ | | Practic 13/ | | Practi 12/ | | Practi 11/ | |
|---------|---------------|---------------|-------|---------------|-------|---------------|-------|---------------|---------------|---------------|---------------|------|----------------|------|---------------|------|---------------|------|
| | No. | % | No. | % | No. | % | No. | % | No. | % | No. | % | No. | % | No. | % | No. | % |
| 0-9% | 122 | 2% | 101 | 1% | 103 | 1% | 89 | 1% | 56 | 1% | 94 | 1% | 66 | 1% | 64 | 1% | 56 | 1% |
| 10-19% | 601 | 9% | 529 | 8% | 519 | 7% | 314 | 4% | 196 | 3% | 665 | 8% | 487 | 6% | 406 | 5% | 292 | 4% |
| 20-29% | 1,668 | 24% | 1,597 | 23% | 1,617 | 22% | 1,343 | 18% | 1,146 | 15% | 1,949 | 25% | 1,727 | 22% | 1,636 | 20% | 1,331 | 16% |
| 30-39% | 2,083 | 31% | 2,039 | 29% | 2,065 | 28% | 1,954 | 26% | 2,037 | 26% | 2,563 | 32% | 2,492 | 31% | 2,374 | 29% | 2.265 | 27% |
| 40-49% | 1,802 | 26% | 1907 | 27% | 2,064 | 28% | 2,259 | 30% | 2,431 | 31% | 2,144 | 27% | 2,556 | 32% | 2,641 | 32% | 2,809 | 34% |
| 50-59% | 528 | 8% | 801 | 11% | 856 | 12% | 1,416 | 19% | 1,662 | 21% | 501 | 6% | 667 | 8% | 982 | 12% | 1,424 | 17% |
| 60-69% | 17 | * | 25 | * | 41 | 1% | 162 | 2% | 258 | 3% | 12 | * | 10 | * | 25 | * | 81 | 1% |
| 70-79% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 1 | * | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 80-100% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 1 | * | 0 | 0% |
| Total | 6,821 | 100% | 6,999 | 100% | 7,265 | 100% | 7,537 | 100% | 7,787 | 100% | 7,928 | 100% | 8,005 | 100% | 8,129 | 100% | 8,258 | 100% |

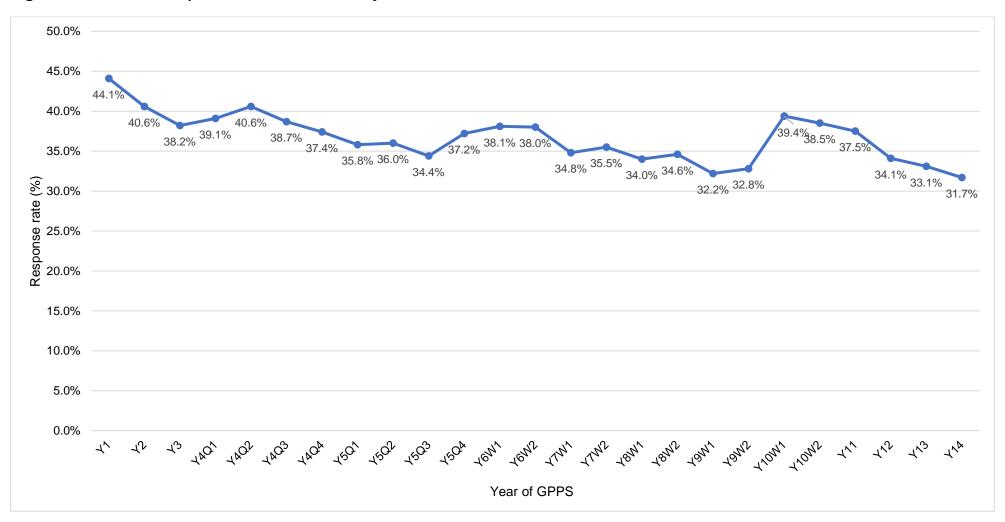


Figure 7.2: National response rates to the survey over time

7.2 Adjustments to response rate

Alternative presentations of response rates can aid by mitigating the effects that some elements of a survey's methodology might have on the response rate calculation. Two such presentations are discussed below.

7.2.1 Weighted response rate

As described in Chapter 3, the issued samples in GP practices with lower response rates are boosted in order to achieve a minimum sample size. One implication of this is that the issued sample is skewed towards GP practices where participation is likely to be lower. In other words, a patient registered at a practice with a low response rate has a higher chance of being selected for the GPPS than one at a same-sized practice with a high response rate. As a result, one would expect to observe a lower response rate overall compared with a design in which the sample was allocated to GP practices in proportion. Further, it means that comparisons of response rates between waves and with other surveys could be misleading as, by design, the GPPS over-samples patients who are less likely to participate, to ensure sufficient results are achieved from as many practices as possible.

To demonstrate the impact of this, it is recommended that both unweighted and weighted estimates of response rates are presented for samples with unequal selection weights. For example, Lynn et al. write that, '*The importance of weighted outcome rates stems from the possibility that response rates could differ across strata or other intermediate sampling units which have different inclusion probabilities*⁴.'

For the GPPS sample, we know by design that the GP practices (the strata) in which patients have a higher inclusion probability are those with a lower response rate. A **weighted response rate** can therefore be calculated with the issued sample weighted by the original selection weight.

7.2.2 Adjusted response rate

It is also standard practice on many surveys to adjust the response rate to take into account ineligible sample, i.e. participants who could never have been contacted or received a survey during the fieldwork period. For a postal survey this is difficult to calculate, but taking a conservative approach, it is possible to exclude undeliverable post, those who did not receive a questionnaire pack. This is referred to as an **adjusted response rate**.

⁴ Peter Lynn, Roeland Beerten, Johanna Laiho and Jean Martin (2001) Recommended Standard Final Outcome Categories and Standard Definitions of Response Rate for Social Surveys. ISER Working Papers Number 2001-23.

Table 7.5 presents both the weighted and adjusted response rates alongside the published (unweighted and unadjusted) response rate.

| | Unweighted/unadjusted response rate (published) | Weighted response rate (unadjusted) | Adjusted response rate (unweighted)* |
|------|---|-------------------------------------|--------------------------------------|
| 2020 | 31.7% | 35.4% | 33.2% |

* The adjusted response rate (unweighted) calculation for 2020 excludes undeliverable post from the 2020 survey only. This provides a more accurate adjustment than the previous calculation which excluded all undeliverable post received in 2019 regardless of survey year.

Reporting

8 Reporting

8.1 Presentation of statistics

Many of the GP Patient Survey outputs lead with a summary result; a single statistic that provides a quick way of viewing the result for a question. This is usually an aggregation of two individual responses (e.g. '% Easy' is a combination of '% Very easy and '% Fairly easy') or a single response option (e.g. '% Yes').

For some questions it is not appropriate to present a summary result; for instance, where the respondent is allowed to select multiple responses for one question. An example of this is Question 3: 'In the past 12 months, have you booked general practice appointments in any of the following ways?'

8.1.1 Calculation of results / percentages

The GP Patient Survey results are calculated consistently across the various outputs. For some questions the non-specific response options are excluded where appropriate (e.g. haven't tried, can't remember, don't know), to provide a more accurate reflection of how those using a service evaluate it. Non-specific responses in general do not provide information about a patient's experience of GP services.

Using Question 1 as an example below*, removing those who 'haven't tried' to get through to their GP practice on the phone from the calculation provides a better reflection of the views of patients who had tried. Numbers and percentages are presented for the four response options 'Very easy', 'Fairly easy', 'Not very easy', and 'Not at all easy'.

| Q1 Generally, how easy is it to get through to someone at your GP practice on the phone? | | | | | | | | |
|--|--------|--------|----------|----|------|--|--|--|
| Results | Summar | y resu | ults | | | | | |
| | n | % | | n | % | | | |
| Very easy | 15 | 18% | Factor | 45 | 53% | | | |
| Fairly easy | 30 | 35% | Easy | 45 | 55% | | | |
| Not very easy | 20 | 24% | Neter | | 470/ | | | |
| Not at all easy | 20 | 24% | Not easy | 40 | 47% | | | |
| Haven't tried | 1 | 1 | 1 | 1 | / | | | |
| Base | 85 | 100% | | 85 | 100% | | | |

* Dummy data

There are two exceptions where the non-specific response option percentages are presented separately as these are likely to be of particular interest;

- Q6: Haven't tried' How easy do you find your GP practice's website to use?
- Q8: 'Don't know' How satisfied are you with the appointment times available to you?

If you have any questions about the presentation of the results, please email the research team.

8.1.2 Changes to note

There were no changes to the questionnaire in 2020. In 2019, for Q35, there was a change to the response code, from 'A developmental condition such as autism or ADHD' to 'Autism or autism spectrum condition'. As a result, this response option is not comparable with data prior to 2019.

If manually comparing 2020 or 2019 with 2018 using the Excel/csv reports, the percentages for some questions are calculated differently (as outlined in the 'Calculation of results / percentage' section above), and care should be taken to ensure that any results are compared on the same basis. Please see the 'Presentation of Statistics' document for further information (available <u>here</u>).

8.1.3 Trend data for 2018, 2019 and 2020

Due to significant changes in the questionnaire and the inclusion of 16-17 year olds in 2018, there was a break in trends for almost all questions for the 2018 publication. Trends for 2018 - 2020 are displayed in the following outputs:

- National summary report
- National results and trends
- Analysis tool
- CCG slide packs

8.1.4 Previous trend data

In most outputs, trends from previous publications are not presented alongside this data as a result of the significant changes to the survey in 2018. Analysis showed that, in most cases, context effects impacted the survey estimates, even where question wording remained similar. It also found that including 16-17 year olds had an impact on results for the youngest age group overall (16-24 year olds). See the <u>2018 Technical Annex</u> for more information.

For a small number of questions, trend data may be presented going back to 2012 (depending on when the question was introduced). These questions were not impacted by the changes made to the content of the questionnaire for the 2018 survey, or the extension of the sample to include 16-17 year olds in 2018. Trends for these questions are available in the National results and trends document. This includes the following questions:

- Your local GP services: Q1 (ease of getting through to practice on the phone), Q2 (helpfulness of receptionists)
- When your GP practice is closed: Q45 (what happened when contacted service when GP practice is closed), Q46 (speed of care and advice received), Q47 (confidence and trust), Q48 (overall experience)
- NHS dentistry: Q51 (success in getting an NHS dental appointment), Q52 (overall experience of NHS dentistry), Q53 (why not tried to get NHS dental appointment in last two years)
- Some questions about you: Q54 (sex), Q56 (ethnicity), Q58 (parent or guardian), Q59 (caring responsibilities), Q60 (deaf/sign language), Q62 (sexuality), Q63 (religion)

Trends are also reported from 2012 onwards in the National results and trends document for Q55 (Age). This question was impacted by the inclusion of 16 to 17 year olds for the first time in the 2018 survey. Where trends are shown for Q55, this is clearly signposted.

The full data is available for all survey years on the GP Patient Survey website.

As a further reminder, when looking at trend data, it is important to note that analysis in Year 11 identified a fieldwork timing effect, the impact of the survey moving from a bi-annual format (between 2011 and 2016) to annual in 2017. This found evidence of systematic differences in the data collected between the Wave 1 (July-September) and Wave 2 (January-March) fieldwork periods. As a result, where looking at national level trends, comparisons between data after 2017 and data from between 2016 and 2011 should use Wave 2 data only. For full details of this analysis please see the note 'Assessing the impact of change to an annual GP Patient Survey' which can be accessed here.

8.2 Impact of COVID-19 on results

Fieldwork for the 2020 GPPS took place between the 2 January and 6 April. This means that some survey responses were collected after key COVID-19 milestones such as the first confirmed death and national lockdown.

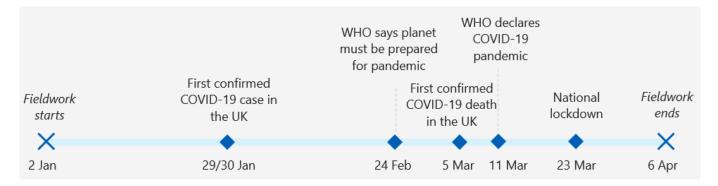


Figure 8.1: GPPS 2020 fieldwork and COVID-19 timeline

Analysis was conducted in order to understand whether the pandemic had an impact on GPPS results for 2020. This aimed to establish whether overall trends were affected and looked at the effect on attitudes and experiences of local health services among those patients responding later in fieldwork compared with the same period in 2019.

Our analysis indicates that, due to the very small proportion of responses received in the last weeks of fieldwork, the results of the survey as a whole and the validity of comparisons between the 2020 survey and previous surveys have not been impacted. However, the analysis did show differences in patterns of responses during different time periods. These differences are particularly evident when looking at results received during the last two weeks of fieldwork (after lockdown was introduced).

8.2.1 Methodology

In relation to the COVID-19 timeline GPPS fieldwork can be split into three broad phases, pre-COVID-19, early stage and post-lockdown.

To assess whether COVID-19 influenced GPPS results overall and whether attitudes changed over the fieldwork period, findings for 2020 were compared with 2019 by these three phases. This was important because every year responses to the GPPS tend to be slightly different in the final period of fieldwork due to differences in the profile of patients who tend to take part later – more black and minority ethnic (BAME) patients, full-time workers, younger people and parents.

In order to select exact dates for these phases, NHS England and Ipsos MORI looked at a number of data sources, including NHS 111 data and public attitude data collected by Ipsos MORI.

Based on this data, and analysis of alternative dates, the following timetable was found to best reflect impact on the responses by COVID analysis.

 Pre-COVID: Jan 2 – Feb 23, Early: Feb 24 – March 22, Lockdown: March 23 - end of fieldwork

8.2.2 Response rate and response mode

Analysis found that there was a small impact on the response rate in the final period of fieldwork, when compared with the previous year.

| | | 2 | 019 | | 2020 | | | | |
|-------------------------------------|-------------------|----------------------------|-----------------------------------|---------|-------------------|----------------------------|-----------------------------------|---------|--|
| | Jan 2 – Feb 23 | Feb 24 – March 22 | March 23 - end of fieldwork | Total | Jan 1 – Feb 23 | Feb 24 – March 22 | March 23 - end of fieldwork | Total | |
| Number of responses | 654,718 | 96,374 | 18,295 | 769,387 | 637,166 | 93,617 | 8,854 | 739,637 | |
| Proportion of total responses | 85.1% | 12.5% | 2.4% | 100.0% | 86.1% | 12.7% | 1.2% | 100.0% | |

Table 8.1: Proportion of responses by time in fieldwork, 2019 and 2020

As shown in Table 8.1, the response rate fell in the last two weeks of fieldwork and to a greater extent than in 2019. In 2019, 2.4% (18,295) of all responses were received in the final two weeks, while in 2020, post lockdown, this fell to 1.2% (8,854). While the response rate falls slightly each year, this represents a fall in equivalent numbers of patients responding of 51.6% in the final time period.

In contrast, the proportion responding in the second time period from Feb 24 – March 22 was very similar: 12.5% (96,374) in 2019 and 12.7% (93,617).

In terms of response mode, the proportion taking part online rose significantly in the last two weeks of fieldwork. While the proportion completing online increased overall this year, this increased further post-lockdown: 28.0% took part online in the last two weeks compared with 20.3% overall.

This is also in contrast to the usual pattern by mode, where the online proportion tends to fall over fieldwork. Equivalent figures for 2019 are 8.4% in the last two weeks compared with 10.2% taking part online overall.

The proportion responding by post fell significantly in the last two weeks. In 2020, around 10,000 fewer people responded by post during this time. This appears to have largely accounted for the lower overall level of response at the end of fieldwork, suggesting that fewer people were willing or able to return a postal questionnaire after lockdown.

| | | | 2019 | | | | | | | |
|------------|---------|---------|--------|--------|---------|---------|----------|---------|--------|--|
| | | | | Feb 24 | – March | March 2 | 23 - end | | | |
| | | Jan 2 – | Feb 23 | 2 | 2 | of fiel | dwork | То | tal | |
| Collection | Scanned | 587,777 | 89.8% | 86,190 | 89.4% | 16,763 | 91.6% | 690,730 | 89.8% | |
| mode | Online | 66,941 | 10.2% | 10,184 | 10.6% | 1,532 | 8.4% | 78,657 | 10.2% | |
| | Total | 654,718 | 100.0% | 96,374 | 100.0% | 18,295 | 100.0% | 769,387 | 100.0% | |

Table 8.2: Mode of completion by time in fieldwork, 2019 and 2020

| | | | 2020 | | | | | | | |
|------------|---------|---------|--------|--------|--------------|---------------------|--------|---------|--------|--|
| | | Jan 2 – | Feb 23 | _ | – March 2 | March 2 of field | | То | tal | |
| Collection | Scanned | 507,410 | 79.6% | 75,576 | 80.7% | 6,377 | 72.0% | 589,363 | 79.7% | |
| mode | Online | 129,756 | 20.4% | 18,041 | 19.3% | 2,477 | 28.0% | 150,274 | 20.3% | |
| | Total | 637,166 | 100.0% | 93,617 | 100.0% | 8,854 | 100.0% | 739,637 | 100.0% | |

The decrease in postal responses was reflected across demographics but was especially pronounced among BAME respondents, who are generally more likely to take part on paper and later in fieldwork. In 2020, the proportion of postal responses from BAME respondents was 0.3 percentage points higher than in 2019 overall but in the last two weeks this fell by 5.2 percentage points. In contrast, the proportion of online responses from BAME respondents was 1.7 percentage points lower than 2019 post-lockdown but 2.7 percentage points lower overall.

8.2.3 Question responses

There were some small differences in how respondents answered questions post-lockdown than the comparable period in the previous year. However, as this was such a small percentage of responses (1.2%), this did not impact overall results.

For more details on this analysis, please contact GPPatientSurvey@ipsos.com.

8.3 Deliverables

The survey reporting specifications were created by Ipsos MORI in collaboration with NHS England. The specifications detail the content and layout of each of the Excel and PDF reports required, as well as the SPSS datasets.

All data and reports are encrypted and supplied to NHS England via a secure FTP (File Transfer Protocol) site.

Tables 8.3 to 8.6 describe the reports and datasets which are produced, including the presentation of statistics in each.

While GPPS data is available in weighted and unweighted formats, all official statistics and publications lead with the weighted data. Weighting ensures results are more representative of the population of adult patients registered with a GP practice. Weighted data is useful for

practices where fewer patients of a certain group (for example, younger patients) have taken part than expected.

The unweighted data is raw, unadjusted data. It identifies how many people from a specific subgroup or practice took part, but is less representative of how all patients at a practice might feel, and therefore also less useful for making accurate comparisons.

In the published reports, where fewer than 10 people have answered the question (unweighted or weighted), the data has been suppressed. This is to prevent individuals and their responses being identifiable in the data.

Table 8.3: Weighted reports (published via the www.gp-patient.com website)

| | Detail / purpose |
|--|--|
| National report | National headline results presented in a PowerPoint report |
| National results and trends | National results and trends for all questions |
| National infographic | Selected national headline results in a visual format |
| CCG slide packs | 135 PowerPoint reports containing results for a selection of key questions for individual CCGs (and variation by practice within CCG) |
| Patient experiences and compare tool (gp-patientsurvey.co.uk) | Results for a selection of key questions for individual practices (alongside CCG and national averages) Compare practices with other local practices Filter results by key subgroups (gender, age, ethnicity, long-term conditions) |
| Analysis tool (gp-patientsurvey.co.uk/analysistool) | Use the analysis tool to look at the survey results across years, and to analyse and compare results for specific groups of patients (e.g. by age, ethnicity and more) |
| National data (.csv) | One file in CSV (Comma-separated value) format that contains all the national-level data within the Excel based reports |
| CCG results (Excel) | One Excel report containing survey results for every CCG and the national results |
| CCG data (.csv) | One file in CSV format that contains all the CCG- level data within the Excel based reports |

| | Detail / purpose |
|--------------------------|---|
| Practice results (Excel) | One Excel report containing survey results for every practice and the national results |
| Practice data (.csv) | One file in CSV format that contains all the practice-level data within the Excel based reports |

Table 8.4: Weighted and unweighted datasets provided to NHS England (not published)

| | Detail / purpose |
|-----------------------------|--|
| Person dataset | Person level dataset (SPSS) to allow for a range of further analyses |
| Practice dataset | Practice level dataset (SPSS) to allow for a range of further analyses |
| CCG dataset | CCG level dataset (SPSS) to allow for a range of further analyses |
| Dentistry person dataset | Person level dataset (SPSS) to allow for a range of further analyses based on dentistry questions |

Table 8.5: Unweighted reports (published via the website)

| | Detail / purpose |
|--------------------------|---|
| National data (.csv) | One file in CSV format that contains all the national-level data within the Excel based reports |
| CCG results (Excel) | One Excel report containing survey results for every CCG and the national results |
| CCG data (.csv) | One file in CSV format that contains all the CCG-level data within the Excel based reports |
| Practice results (Excel) | One Excel report containing survey results for every practice and the national results |
| Practice data (.csv) | One file in CSV format that contains all the practice-level data within the Excel based reports |

53

Table 8.6: Annual reports (published via the website)

| | Detail / purpose |
|-----------------|---|
| Technical annex | Communicate operational details of survey |

8.4 The GPPS 'Surveys and Reports' link

The Surveys and Reports page of the GPPS website allows users to access and download the published surveys and reports, CCG slide packs and infographics. Users select the date of publication and are presented with the materials relevant to that publication, organised at national, CCG and practice level. Users can access all published current and archived reports from 2007 onwards. The surveys and reports page is available at http://www.gp-patient.co.uk/surveysandreports.

Figure 8.2: Survey and reports link on the GPPS website

| GP PATIENT SURV | /EY) | | ABOUT | CONTACT | US | RECEIV | ED A SI | URVEY? | NHS |
|--|-------------------|---------------------|---------------|-----------------|--------------------|---|--------------|----------|-------------------|
| | rto | | | L | | l | | | 1 |
| urveys and Repo | | 2020 | 2019 | 2018 | | 2017 | 2 | 2010-16 | 2007-10 |
| ly 2020 Idwork: January - March . | 2020 | | | | | | | | , |
| u can compare results ac | | 018 onwards | using our ana | lusis tool | | | | | |
| 2018 the questionnaire w | | | | | | | | | |
| P Forward View. In addition | | | | - | | | | - | - |
| | only of questions | are not comp | | suits from pre | nous | publication | 10, 01 | on where | question |
| ording remains similar. | | | | | | | | | |
| ording remains similar. or more information on the | ese changes pleas | | | al report and o | uesti | | velop | | ort. |
| ording remains similar. | | se refer to the | 2018 technica | | uesti GF | onnaire de | evelop ce | | |
| anges mean that the majording remains similar. For more information on the National Select an item | ese changes pleas | ccc | 2018 technica | al report and o | guesti GF Se | onnaire de Practic | evelop ce | | <u>ort</u> . ? |
| ording remains similar. r more information on the National Select an item | ese changes pleas | ccc Select an it | 2018 technica | al report and o | guesti GF Se | onnaire de Practic elect an ite | evelop ce | | <u>ort</u> . ? |
| ording remains similar. or more information on the National Select an item Go | ese changes pleas | ccc Select an it | 2018 technica | al report and o | guesti GF Se | onnaire de Practic elect an ite | evelop ce | | <u>ort</u> . ? |
| ording remains similar. or more information on the National Select an item Go | ese changes pleas | ccc Select an it | 2018 technica | al report and a | guesti GF Se | onnaire de P Practic elect an ite | evelop ce | | <u>ort</u> . ? |
| ording remains similar. or more information on the National Select an item Go | ese changes pleas | ccc Select an it | 2018 technica | al report and a | GF Se | onnaire de P Practic elect an ite | evelop ce | | <u>ort</u> . ? |

All current reports for national, CCG and practice level are accessible through this page (in weighted and unweighted data format), along with the other published reports as detailed in tables 8.3-8.6. Please note that prior to January 2016, reports are available for Regions and Area Teams; these are no longer provided following the integration of Area Teams into the four existing Regional Teams.

Under the CCG heading, there is a link to the <u>CCG slide packs page</u> for each relevant publication. This link takes users to an A-Z tool bar which allows easy access to each of the weighted PowerPoint reports for individual CCGs (see figure 8.3). These slide packs are available for the January 2015 publication onwards.

Figure 8.3: CCG slide packs page

| (| GP PATIENT SURVEY | ABOUT | CONTACT US | RECEIVED A SURVEY? | NHS | |
|---|-------------------|-------|------------|--------------------|-----|--|
| | | | | | | |

CCG slide packs (2020)

Download your CCG slide pack for 2020 to view the results for key questions with comparative 2018 data, where available. In many cases these results exclude the non-specific response options (e.g. 'Don't know', 'Haven't tried', 'Can't say' / 'Doesn't Apply') to provide a more accurate reflection of how those using a service evaluate it. For more detail see the Presentation of Statistics document on the main <u>Surveys and Reports</u> page.

If you are having trouble opening the slide packs, or if you would like them in a different format, please contact <u>gppatientsurvey@ipsos-mori.com</u>. Some users are receiving an error message when downloading the slide packs; this is a result of different versions of PowerPoint but you should be able to open the file if you save it first.



В

NHS BARKING AND DAGENHAM CCG NHS BARNSLEY CCG NHS BASILDON AND BRENTWOOD CCG NHS BASSETLAW CCG NHS BATH AND NORTH EAST SOMERSET, SWINDON AND WILTSHIRE CCG NHS BEDFORDSHIRE CCG NHS BERKSHIRE WEST CCG

8.5 The GPPS analysis tool

The GPPS Analysis Tool is designed to help users analyse GPPS data. It was redeveloped for publication in 2018, and a trend data function was added in 2019.



| GP PATIENT SURVEY | ABOUT | CONTACT US | RECEIVED A SURVEY? | NHS | |
|---|--|------------|--------------------------|---------------|----------|
| Ar | Analysis Tool | | | | |
| interest; you can also use our trend tool t Using the options below, start by selectin practice results OR create your own sub | Use the analysis tool to see the full 2020 results in more detail or choose particular questions of interest; you can also use our trend tool to see how the results have changed since 2018. Using the options below, start by selecting '2020 results' if you want to view national, CCG or practice results OR create your own subgroups for analysis. Select 'Trends' if you want to see how the results have changed at national, CCG or practice level since 2018. | | | | |
| 2020 results | | | Trend | ds | |
| View charts and tables, create subgroups and crosstabs | | | See how the results have | e changed sin | nce 2018 |
| | | | | | |
| Pre 2018 data is not shown in this tool because the questionnaire was significantly redeveloped ahead of 2018 fieldwork to reflect changes to primary care services in England as set out in the GP Forward View. | | | | | |

Analysis found that these changes to the questionnaire and inclusion of 16-17 year olds mean the results are not directly comparable with data collected before 2018, even where question wording remains similar. For pre 2018 data, please visit the surveys and report page.

From the main Analysis Tool homepage users first select whether to look at 2020 results (current data) or trends:

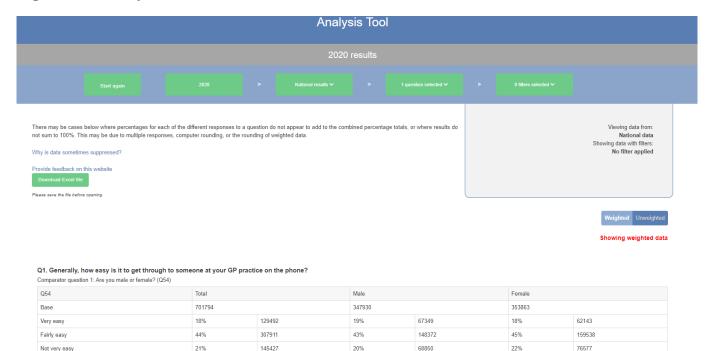
8.5.22020 results

This section allows users to look at the data from the most recent publication.

Practice results: after selecting a practice, there are two options: charts and tables or comparison tables.

- View Results: this section of the website allows the user to select a question or series of questions for a practice and view the results as a table or chart. These findings can be filtered based on patient sub-groups, for example, by gender, age or long-term condition. It is also possible to add up to two additional practice results, results for the relevant CCG, and national results for comparison. These charts are available to view weighted or unweighted and are available for download in Excel and PowerPoint formats.
- Create a crosstab: this section of the website allows the user to create crosstabulations of the data, to look at the relationship between two questions in table format. For example, when the patient would have liked the appointment to be (Q15) analysed by level of concern about the health of the person the appointment was for (Q13). This can also be filtered based on patient sub-groups, for example, by gender, age or working status, and are available to view weighted or unweighted and available to download in Excel formats.

In 2019, a new function was added to the cross-tabulations to allow up to three comparator questions to be included in the analysis. This allows a user to quickly access comparison data for specific questions, as shown in the example below (overall experience of the GP practice (Q31) by all age groups within gender).



68850

41276

22084

13%

3%

45922

9683

Figure 8.5: Analysis tool cross-tabulation function

/eighted Base: National (7017) Inweighted Base: National (69)

Not very easy

Not at all easy

Haven't tried

12%

6%

145427

87198

31767

12%

5%

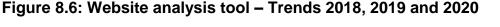
CCG results: after selecting a CCG, there are two options: charts and tables or comparison tables. These work in the same way as the practice results, with the ability to add up to two additional CCGs and national results for comparison.

National results: this allows users to view the national results only, again as charts and tables or comparison tables.

Select subgroups: this option allows users to interrogate the data further by examining the responses collected from different respondent groups, for example, by men or women only. This presents national data by default, but results can also be filtered by a CCG or practice.

8.5.3 Trends

This section allows users to compare current data with previous years' data. Note that this option displays summary results (see section 8.1).





8.5.4 Practice comparison tool

Since June 2015, the main GPPS website also allows users to compare results across practices, CCGs and at the national level. This tool is available either through the practice search function on the home page or via the 'Search for a practice' and 'Compare a practice' links on the home page.

The practice comparison tool allows users to:

- view the results for a particular practice, and compare these results to the local CCG and national results;
- compare results to another local practice (within a 5-mile radius); and
- compare results to any other practice in the country.

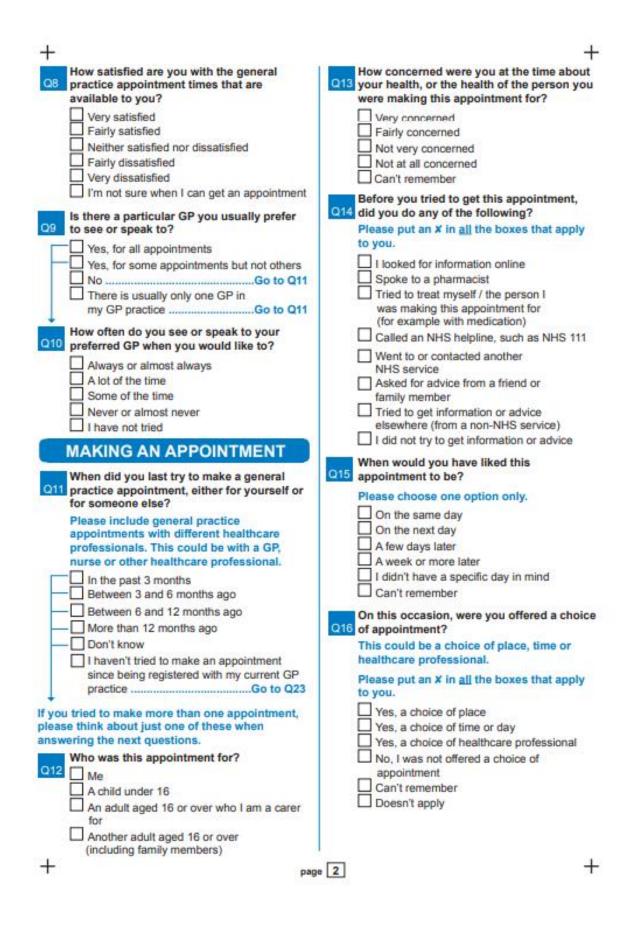
Results are available for either weighted or unweighted data, with weighted data shown by default.

Appendix

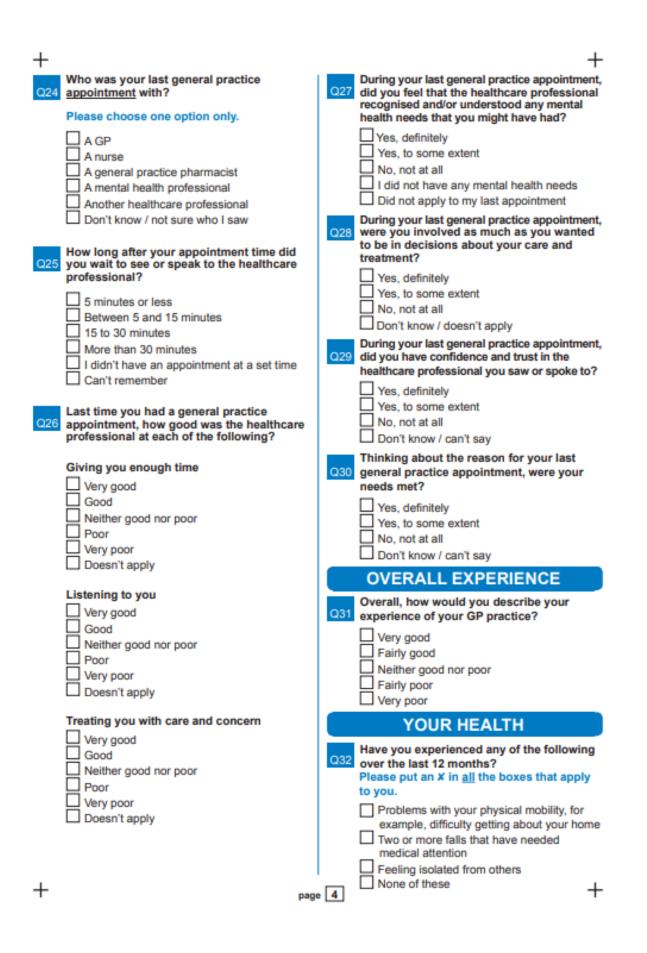
9 Appendix

9.1 Questionnaire

| + Ipsos MORI | NHS + | | | | |
|---|--|--|--|--|--|
| GP PATIENT SURVEY | | | | | |
| Please answer the questions below by putting an x in <u>on</u> is allowed (these questions are clearly marked). We will If you would prefer to fill in the survey online , please of Survey number: 1234567890 | keep your answers completely confidential. | | | | |
| number: 1234567890 Plant image: ABCDE image: Plant image: Other Senerally, how easy is it to get through to someone at your GP practice on the phone? Pairly easy Pairly easy Pairly easy Pairly easy Pairly easy Pairly easy Pairly easy Pairly easy Pairly helpful 05 Which of the following general practice online services have you used in the past 12 months? 20 How helpful do you find the receptionists at your GP practice? Presse put an X in all the boxes that apply to you. Please put an X in all the boxes that apply to you. 30 Ithe past 12 months, have you booked general practice appointments in any of the following ways? How easy is it to use your GP practice's website to look for information or access service? 31 In person Pointe including on an app Vou. As far as you are aware, what general practice appointment times are available to you? 32 As far as you know, which of the following online iservices does your GP practice offer? 32 As far as you know, which of the following online iservices does your GP practice offer? 33 Ned at all helpful Doesn't apply / none of these 34 Sef ar as you know, which of the following online iservices does your GP practice offer? 34 Sef ar as you know, which of the following online services does your GP practice offer? 34 Sef ar as you a | | | | | |
| Li Don't know | I → Please turn over ☞ | | | | |



| + | | | + |
|-----|---|--|---|
| Q17 | Were you satisfied with the appointment (or appointment offered? | ents) you were | O20 What type of appointment did you get? I got an appointment |
| Q18 | appointment No, but I still took an appointment No, and I did not take any app were offered, why was that Please put an X in all the b to you. There weren't any appoint for the time or day I wante The appointment was at the appointment was at the appointment was at the I couldn't book ahead at the appointment was toot difficult to get to I couldn't see my preferret There weren't any appoint There weren't any appoint to get to I couldn't see my preferret There weren't any appoint | ointments you ? oxes that apply atments available ed too short notice soon enough my GP practice atments at the o far away / too ed GP atments with the | to see someone at my GP practice to see someone at another general practice location to speak to someone online, for example on a video call for a home visit How long after initially trying to book the appointment did the appointment take place? On the same day On the next day A few days later A week or more later Can't remember Overall, how would you describe your experience of making an appointment? Very good Fairly good nor poor |
| | healthcare professional I The type of appointment available Another reason | | |
| Q19 | What did you do when you | offered? oxes that apply Go to Q20 such ther actice by PLEASE GO TO Q22 | YOUR LAST APPOINTMENT In the next few questions are about the last time you personally had a general practice appointment. Image: State of the state of t |
| + | | page | 3 Please turn over @ |



| + | | | | + |
|-----|---|--|-------------------|---|
| Q33 | Do you take 5 or more medication a regular basis? Please think about prescribed me as well as those bought over the Yes No | dications | Q36 | Do any of these conditions reduce your ability to carry out your day-to-day activities? |
| Q34 | Do you have any long-term physic | nesses? lasting or more. old age. Go to Q44 ng-term | Q37 Q38 Q39 | How confident are you that you can manage any issues arising from your condition (or conditions)? Very confident Fairly confident Not very confident Don't know In the last 12 months, have you had enough support from local services or organisations to help you to manage your condition (or conditions)? Please think about all services and organisations, not just health services. Yes, definitely Yes, to some extent No I haven't needed support Don't know / can't say |
| | I do not have any long-term conditions | Go to Q44 | | |
| | | | | |
| + | | page | 5 | Please turn over 🖙 |

| + | + |
|--|---|
| The next few questions are about support you have had to plan and manage care relating to your long-term condition (or conditions). | WHEN YOUR GP PRACTICE IS CLOSED |
| Have you had a conversation with a healthcare professional from your GP practice to discuss what is important to you when managing your condition (or conditions)? Yes NoGo to Q44 Don't knowGo to Q44 A care plan is an agreement between you and healthcare professionals to help you manage your health day-to-day. It can include information about your medicine, an eating or exercise plan, or goals you want to achieve such as returning to work. | Q44 In the past 12 months, have you contacted an NHS service when you wanted to see a GP but your GP practice was closed? Please think about the last time you contacted an NHS service (for yourself or for someone else) when you wanted to see a GP but your GP practice was closed. Q44 In the past 12 months, have you contacted an NHS service was closed? Please think about the last time you contacted an NHS service (for yourself or for someone else) when you wanted to see a GP but your GP practice was closed. Q45 Considering all of the services you contacted, which of the following happened |
| At a we you agreed a plan with a healthcare professional from your GP practice to manage your condition (or conditions)? | on that occasion? Please put an X in all the boxes that apply to you. I contacted an NHS service by telephone A healthcare professional called me back A healthcare professional visited me at home I went to A&E I saw a pharmacist |
| Q42 managing your condition (or conditions)? Very helpful Fairly helpful Not very helpful Not at all helpful Don't know Have you been given (or offered) a written or printed copy of this plan? | I went to another general practice service I went to another NHS service Can't remember How do you feel about how quickly you received care or advice on that occasion? It was about right It took too long |
| Yes No Don't know | Q47 Considering all of the people that you saw or spoke to on that occasion, did you have confidence and trust in them? Yes, definitely Yes, to some extent No, not at all Don't know / can't say Overall, how would you describe your last experience of NHS services when you wanted to see a GB but your GP practice |
| + page | wanted to see a GP but your GP practice was closed? Very good Fairly good Neither good nor poor Fairly poor Very poor Don't know / can't say |

| + | L |
|---|---|
| NHS DENTISTRY | SOME QUESTIONS ABOUT YOU |
| When did you last try to get an NHS dental appointment for yourself? In the last 3 months Between 3 and 6 months ago Between 6 months and a year ago Between 1 and 2 years ago More than 2 years ago Appointment, was it with a dental practice you had been to before for NHS dental appointment? Yes No Can't remember Overail, how would you describe your experience of NHS dental services? Very good Fairly good PLEASE Over yoor Very good Fairly poor Very poor Other needed to visit a dentist I no longer have any natural teeth | Control correction of the population. We will keep your answers completely confidential. G154 Are you male or female? G154 Male Female G155 How old are you? G156 How old are you? G156 Under 16 45 to 54 G157 G16 to 17 55 to 64 G180 G5 to 74 35 to 44 G256 What is your ethnic group? What is your ethnic group? A. White G156 English / Welsh / Scottish / Northern Irish / British British British British British British Mite and Black Caribbean White and Black African White and Black Caribbean White and Black African White and Black Caribbean How other Mixed / multiple ethnic background C. Asian / Asian British Bangladeshi G16 Chinese Any other Asian background Bangladeshi G17 Caribbean Any other Black / African / Caribbean / Black British Bangladeshi Chinese Any other Black / African / Caribbean / Back ground |
| + page | Please turn over @ |

| + | + | | | | |
|--|---|--|--|--|--|
| Which of these best describes what Q57 you are doing at present? | Are you a deaf person who uses sign Q60 language? | | | | |
| If more than one of these applies to you, please put an X in the box next to the main <u>one</u> only. | □ Yes □ No | | | | |
| Full-time paid work (30 hours or more each week) Part-time paid work (under 30 hours each week) Full-time education at school, college or university Unemployed Permanently sick or disabled Fully retired from work Looking after the family or home Doing something else | Q61 Which of the following best describes your smoking habits? Never smoked Former smoker Occasional smoker Regular smoker Which of the following best describes how you think of yourself? Heterosexual or straight Gay or lesbian | | | | |
| Are you a parent or a legal guardian for any children aged under 16 living in your home? | Bisexual Other I would prefer not to say | | | | |
| Ves No | Q63 Which, if any, of the following best describes your religion? | | | | |
| Do you look after, or give any help or support to family members, friends, neighbours or others because of either: • long-term physical or mental ill health / disability, or • problems related to old age? Don't count anything you do as part of your paid employment. NO Yes, 1 to 9 hours a week Yes, 10 to 19 hours a week Yes, 20 to 34 hours a week Yes, 35 to 49 hours a week Yes, 50 or more hours a week | No religion Buddhist Christian (including Church of England, Catholic, Protestant, and other Christian denominations) Hindu Jewish Muslim Sikh Other I would prefer not to say | | | | |
| Thank you for your time. Please return this questionnaire in the reply paid envelope provided or send it in an envelope marked FREEPOST GP PATIENT SURVEY (you do not need a stamp). | | | | | |
| Orginally developed with EXETER SC Crystal Mark 22590 Carty approved by Plain English Campeign | HOOL UNIVERSITY OF CAMBRIDGE | | | | |
| + pag | 8 + | | | | |

9.2 Initial letter





Survey number: Online password:

2 January 2020

Dear

Your chance to help the NHS

I am writing to ask you to take part in the GP Patient Survey. This survey is being carried out by Ipsos MORI on behalf of NHS England. Nearly 1 million people a year help us by taking part in the survey.

Improving GP and health services in your area

The survey asks about your experiences of your GP practice and other local NHS services, and includes questions about you and your general health. The answers we get help the NHS to improve local health services for people like you and your family. Even if you haven't visited your GP practice recently, or you have filled in a questionnaire before, it's still really important that we hear from you.

Please take part by filling in the enclosed questionnaire or going online. Taking part online is cheaper for the NHS.

Fill in the questionnaire and send it back in the enclosed envelope. It's free - you don't need a stamp. Or take part online. Go to www.gpsurvey.net/login and use the login details below:

Survey number: 1234567890 Online password: AAAAA

It should take less than 15 minutes.

You can help us at NHS England by filling in the survey as soon as possible. That way we won't need to send you any reminders.

Your information will be kept confidential

There is more information about the survey and confidentiality over the page. If you have any questions or need help filling in the questionnaire, go to the main website at www.gp-patient.co.uk. Or you can call Ipsos MORI on Freephone 0800 819 9135 (8am to 9pm Monday to Friday, 10am to 5pm on Saturdays).

Thank you very much for giving some of your time to help the NHS.

Yours sincerely

Luchard

Neil Churchill Director of Patient Experience NHS England

Please turn over 🗁

Why are you carrying out this survey?

This survey will help the NHS to improve GP practices and other local NHS services so they better meet your needs. You can see all the results from previous surveys, including the results for your local GP practices, by visiting the website at www.gp-patient.co.uk.

How did you get my name and address?

Your name was chosen at random from the NHS list of patients registered with a GP. Under the General Data Protection Regulation and Data Protection Act 2018, we at NHS England are responsible, as a 'data controller', for the information we hold about you. Ipsos MORI is the 'data processor' acting on our behalf to carry out the survey.

Ipsos MORI will keep your contact details confidential and only use them to send you this survey. Ipsos MORI has not been given any information about your health. Once the survey is over, Ipsos MORI will destroy your contact details.

The NHS England privacy notice explains how you can get in touch and your rights about how your information is used. You can see the notice at www.england.nhs.uk/contact-us/privacy-notice.

What happens to my answers?

Your answers are put together with the answers from other people to provide results for your GP practice and local area, and to produce national results. They are not linked to your name, address or NHS number. Your answers will be kept confidential by Ipsos MORI, and by approved NHS England staff and researchers. Nobody will be able to identify you in any results that are published. For more information go to www.gp-patient.co.uk/confidentiality.

What is the survey number on the front of this letter used for?

Ipsos MORI use the survey number to identify who has responded to the survey (they only send reminders to people who haven't responded) and to link responses to GP practices. The survey number is not linked to your NHS number.

Taking part in the survey is voluntary. If you do not want to receive any reminders, please send us the blank questionnaire in the envelope provided or call Ipsos MORI on Freephone 0800 819 9135.

Can someone help me fill in the questionnaire?

Yes, that's fine. You can contact our team or ask a friend or relative to help, but please make sure the answers are only about your experiences.

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> اردو gp-patient.co.uk/urdu 0800 819 9149



If you want a copy of the questionnaire in large print or Braille, call Freephone 0800 819 9135.

9.3 Reminder postcard

9 January 2020

Last week Ipsos MORI sent you a questionnaire because you were chosen at random to take part in a survey and share your experiences of GP services and other local NHS services.

Your information will help NHS England improve services in your area. If you have already filled in and returned the questionnaire, thank you very much. If not, please could you do so as soon as possible. If you prefer, you can fill in the survey online at www.gpsurvey.net/login, using the login details sent with the questionnaire last week.

You were chosen at random to take part in the survey because you are registered with a GP practice in England. Even if you haven't visited your GP practice recently, your answers are still really important to us.

If you have any questions, please call Ipsos MORI on Freephone 0800 819 9135 (8am to 9pm Monday to Friday, 10am to 5pm on Saturdays). Or you can visit the main website at www.gp-patient.co.uk.

Thank you

il Chudrell

Neil Churchill Director of Patient Experience NHS England



9.4 First reminder letter





Survey number: Online password:

3 February 2020

Dear

Taking part will help you, your family and your community

In early January I sent you a letter asking you to take part in a survey to help improve local NHS services. If you have already filled in the questionnaire online or returned it in the post, thank you for your time - you do not need to do anything else.

We need to hear from as many people as possible, including you

If you have not filled in the enclosed questionnaire or gone online, please do so to give us your views on local NHS services. Taking part online is cheaper for the NHS.

Even if you haven't visited your GP practice recently, your answers are still really important to us. It is only by hearing from as many people as possible that we can be sure that our results represent the views of everyone in your area.

Please fill in the questionnaire and send it back in the enclosed Freepost envelope. It's free - you don't need a stamp. Or take part online. Go to www.gpsurvey.net/login and use the login details below:

Survey number: 1234567890 Online password: AAAAA

Your answers will be kept confidential

There is more information about the survey and confidentiality over the page. If you have any questions or need help filling in the questionnaire, go to the main website at www.gp-patient.co.uk. Or you can call lpsos MORI on Freephone 0800 819 9135 (8am to 9pm Monday to Friday, 10am to 5pm on Saturdays).

Thank you very much for giving some of your time to help local NHS services.

Yours sincerely

uchdrell

Neil Churchill Director of Patient Experience NHS England

Please turn over 🗁

Why are you carrying out this survey?

This survey will help the NHS to improve GP practices and other local NHS services so they better meet your needs. You can see all the results from previous surveys, including the results for your local GP practices, by visiting the website at www.gp-patient.co.uk.

How did you get my name and address?

Your name was chosen at random from the NHS list of patients registered with a GP. Under the General Data Protection Regulation and Data Protection Act 2018, we at NHS England are responsible, as a 'data controller', for the information we hold about you. Ipsos MORI is the 'data processor' acting on our behalf to carry out the survey.

Ipsos MORI will keep your contact details confidential and only use them to send you this survey. Ipsos MORI has not been given any information about your health. Once the survey is over, Ipsos MORI will destroy your contact details.

The NHS England privacy notice explains how you can get in touch and your rights about how your information is used. You can see the notice at www.england.nhs.uk/contact-us/privacy-notice.

What happens to my answers?

Your answers are put together with the answers from other people to provide results for your GP practice and local area, and to produce national results. They are not linked to your name, address or NHS number. Your answers will be kept confidential by Ipsos MORI, and by approved NHS England staff and researchers. Nobody will be able to identify you in any results that are published. For more information go to www.gp-patient.co.uk/confidentiality.

What is the survey number on the front of this letter used for?

Ipsos MORI use the survey number to identify who has responded to the survey (they only send reminders to people who haven't responded) and to link responses to GP practices. The survey number is not linked to your NHS number.

Taking part in the survey is voluntary. If you do not want to receive any reminders, please send us the blank questionnaire in the envelope provided or call Ipsos MORI on Freephone 0800 819 9135.

Can someone help me fill in the questionnaire?

Yes, that's fine. You can contact our team or ask a friend or relative to help, but please make sure the answers are only about your experiences.

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If you want a copy of the questionnaire in large print or Braille, call Freephone 0800 819 9135.

9.5 Second reminder letter





Survey number: Online password:

2 March 2020

Dear

In January and February I asked you to give us your feedback to help improve local NHS services. If you have already filled in the survey, thank you for your time - you do not need to do anything else.

Your last chance to help shape the health services in your area

If you have not already filled in the questionnaire, please do so to give us your views. This will help to make sure the NHS delivers the healthcare services that you and your family need.

Return the completed questionnaire by 31 March

Please take part by filling in the enclosed questionnaire or going online by 31 March. Taking part online is cheaper for the NHS.

Fill in the questionnaire and send it back in the enclosed Freepost envelope. It's free - you don't need a stamp. Or take part online. Go to www.gpsurvey.net/login and use the login details below:

Survey number: 1234567890 Online password: AAAAA

It should take less than 15 minutes.

Your answers will be kept confidential

There is more information about the survey and confidentiality over the page. If you have any questions or need help filling in the questionnaire, go to the main website at www.gp-patient.co.uk. Or you can call lpsos MORI on Freephone 0800 819 9135 (8am to 9pm Monday to Friday, 10am to 5pm on Saturdays).

Thank you very much for giving some of your time to help local NHS services.

Yours sincerely

Luchard

Neil Churchill Director of Patient Experience NHS England

Please turn over 🗁

Why are you carrying out this survey?

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Ipsos MORI will keep your contact details confidential and only use them to send you this survey. Ipsos MORI has not been given any information about your health. Once the survey is over, Ipsos MORI will destroy your contact details.

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What happens to my answers?

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If you want a copy of the questionnaire in large print or Braille, call Freephone 0800 819 9135.

9.6 Piloting a new sample frame

From 2021 the Personal Demographics Service (PDS) will provide the sample frame for GPPS because the National Health Applications and Infrastructure Service (NHAIS) database is being decommissioned. Ahead of this change, to test for any differences between the two sample sources and achieved survey responses, during 2020 fieldwork a pilot was run on the PDS mirroring the main NHAIS approach.

9.7 Experiments

As the PDS provides access to email addresses and mobile numbers for the first time, a set of experiments ran alongside the PDS "control" on a sub-sample of those selected for the survey. These experiments were designed to encourage more participants to take part online, to reduce overall printing and postage costs for the survey. The experiments focused on multi-mode contact with patients by letter, email and text message, and included push-to-web sequential mailing contact strategies where initial letter contact(s) withheld the paper questionnaire (only providing log-in details for the online survey).

The experiment groups are summarised below:

- Replacing the postcard with an alternative contact strategy (Treatments A and B): These experiments involved replacing the postcard with either an SMS or an email-priority email/SMS strategy. Otherwise the mailing strategy remained consistent with the main survey. The postcard acts as an effective nudge to participate and these treatments aimed to understand whether this nudge could be achieved using SMS or email instead, which is a cheaper method of contact. Since it is also possible to include a unique personalised link to the survey within the emails and SMS, which cannot be done using a postcard, it also aimed to understand whether this makes online participation easier.
- Moving to a sequential push-to-web mailing strategy (Treatments C, D, E and F): These experiment groups moved from offering both the paper and online versions of the survey at the same time (simultaneous push-to-web) to offering a link to the online survey only first, followed by paper versions in later mailings (sequential push-to-web). This was combined with using mobile numbers as part of an additional contact strategy. Sequential push-to-web is associated with higher online survey take-up, but lower overall response rates. Various designs of push-to-web were tested across groups C, D, E and F, including variations on the number of contacts before a postal questionnaire was introduced, the length of time between mailings and the number of mobile contacts between physical mailings.

 Including an additional SMS reminder: For all treatments, the sample was split to trial the effect of an additional SMS reminder after the first reminder/second letter mailing on the response rate. This approach was tested across all treatments to allow comparison of impact, as the additional SMS reminder may have a different level of impact depending on the intervention it is associated with. In addition, to understand the impact of two consecutive SMS reminders, treatment group F tested using two SMS reminders in a row after the initial invitation letter, in weeks two and three.

The experiments were embedded within the main GPPS survey, meaning fieldwork was carried out at the same time and using a subset of the sample.

9.7.1 Allocation of sample to treatment groups

Of the c.92,000 patients selected via the PDS, c.20,000 cases were systematically selected to take part in the PDS pilot and c.72,000 were allocated across the six experiment groups. The sample was stratified by CCG and by practice, with a '1 in n' random selection. These sample sizes provided sufficient power to allow comparison of the PDS pilot with NHAIS and each experiment group with the PDS pilot/control.

Selected patients were de-duplicated against the main sample to ensure they were not included twice, with preference given to patients in the main NHAIS sample.

9.7.2 Results

Table 9.1 details the PDS pilot and six different treatment groups and the response rate for each.

Table 9.1: Details and response rates of the PDS pilot sample and each experimental treatment group

| | Summary | Invited | Returned | Response rate | Percent of returned completed online |
|-----|--|---------|----------|------------------|--------------------------------------|
| PDS | Same as the main survey methodology using PDS as the sampling frame (control) | 20,116 | 6,400 | 31.8% | 19.1% |
| A | SMS Replace postcard with SMS (where available) | 11,960 | 3,712 | 31.0% | 28.2% |
| В | Email/SMS Replace postcard with email (where available) and then SMS (where available) | 11,962 | 3,559 | 29.8% | 28.6% |
| С | Push-to-web (gentler) Remove questionnaire from first mailing, replace postcard with SMS reminder (where available) | 11,961 | 3,374 | 28.2% | 57.0% |
| D | Push-to-web (harder) Remove questionnaire from first two mailings, replace postcard with SMS reminder (where available) | 11,962 | 3,002 | 25.1% | 72.5% |
| E | Push-to-web (harder) with shorter mailing intervals Remove questionnaire from first two mailings, replace postcard with SMS reminder (where available) and move timings closer together | 11,970 | 3,288 | 27.5% | 71.8% |
| F | Push-to-web (harder) with additional SMS contact Remove questionnaire from first two mailings, replace postcard with SMS reminder (where available) and include second SMS reminder in week 3 | 11,966 | 3,189 | 26.7% | 75.9% |

Analysis has been carried out to look at the differences in results between the experimental treatment groups and the main survey across a range of key survey estimates and demographic profiles. This analysis found no discernible or meaningful pattern in differences when responses to the experimental groups were included within the main survey results. Therefore, responses to the experimental groups have been included in published results for 2020: they equate to 3.6% of the total number of completed surveys.

Further analysis is being carried out looking at the difference in response rate and proportion of online completes for each experimental treatment group, and the cost effectiveness of these interventions.

For more information

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About Ipsos MORI's Social Research Institute

The Social Research Institute works closely with national governments, local public services and the not-for-profit sector. Its c.200 research staff focus on public service and policy issues. Each has expertise in a particular part of the public sector, ensuring we have a detailed understanding of specific sectors and policy challenges. This, combined with our methods and communications expertise, helps ensure that our research makes a difference for decision makers and communities.